



**SMALL ENTITY DECLARATION**  
[37 CFR 1.9(c-f)]

Each undersigned declares that:

- (1) ☐ the application attached hereto  
(2) ☒ U.S. Application Serial No. \_\_\_\_\_ filed \_\_\_\_\_  
(3) ☐ U.S. Patent No. \_\_\_\_\_ Issued \_\_\_\_\_

is entitled to the benefits of "small entity" status for paying reduced fees under 35 USC 41(a) and (b) to the Patent and Trademark Office by virtue of the following:

(4) ☒ Each undersigned declares that he/she qualifies as an independent inventor, or would qualify had he/she made the invention, as defined in 37 CFR 1.9(c).

(5) ☐ The undersigned declares that he/she is an official empowered to act on behalf of the concern identified below; that this concern qualifies as a small business concern as defined in 37 CFR 1.9(d).

(6) ☐ The undersigned declares that he/she is an official empowered to act on behalf of the organization identified below; that this organization qualifies as a nonprofit organization as defined in

- (a) ☐ 37 CFR 1.9(e)(1)  
(b) ☐ 37 CFR 1.9(e)(2)  
(c) ☐ 37 CFR 1.9(e)(3)  
(d) ☐ 37 CFR 1.9(e)(4) State law of \_\_\_\_\_

(7) Each person, concern or organization to which I/we have assigned, granted, conveyed or licensed, or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- (a) ☐ no such person, concern or organization  
(b) ☒ persons, concerns or organizations listed below

[a separate declaration is required from each named person, concern or organization having rights to this invention averring to their status as "small entities."]

Full Name Ikagaku Co., Ltd.

Address 328, Hazukashi-furukawacho, Fushimiku, Kyoto, Japan

☐ Individual ☒ Small Business Concern ☐ Nonprofit Organization

I/we acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I/we hereby declare all statements made herein of his/her own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application, any patent issued thereon, or any patent to which this declaration is directed.

(8) Kazuo Uchida Kazuo Uchida July 28, 2000  
Typed Name of Inventor Signature Date  
Shinichi Mashiba Shinichi Mashiba July 28, 2000  
Typed Name of Inventor Signature Date

(9) \_\_\_\_\_  
Name of Small Business Concern or Nonprofit Organization

\_\_\_\_\_  
Typed Name By Signature Date

\_\_\_\_\_  
Title of Signatory



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Full Name \_\_\_\_\_

Address \_\_\_\_\_

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(8) \_\_\_\_\_  
Typed Name of Inventor Signature Date

\_\_\_\_\_  
Typed Name of Inventor Signature Date

(9) Ikagaku Co., Ltd.  
Name of Small Business Concern or Nonprofit Organization  
Shoji Okuda By Shoji Okuda July 28, 2000  
Typed Name Signature Date  
President  
Title of Signatory